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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/883,091
Filing Date	June 15, 2001
First Named Inventor	Edmund Y. Ting
Group Art Unit	1734
Examiner Name	
Attorney Docket No.	340058.535


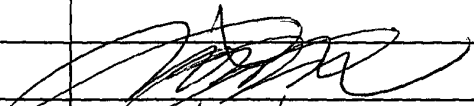
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### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____
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Remarks

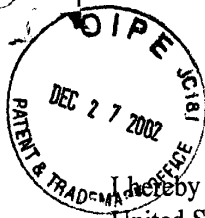
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Andrea Dolder

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edmund Y. Ting et al.  
Application No. : 09/883,091  
Filed : June 15, 2001  
For : METHOD AND APPARATUS FOR HIGH PRESSURE  
TREATMENT OF SUBSTANCES UNDER CONTROLLED  
TEMPERATURE CONDITIONS

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TC 1700

Art Unit : ~~1734~~ 1744  
Docket No. : 340058,535  
Date : December 20, 2002

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Commissioner for Patents  
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is

believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Edmund Y. Ting et al.

Seed Intellectual Property Law Group PLLC



Lorraine Linford

Registration No. 35,939

LL:ad

Enclosures:

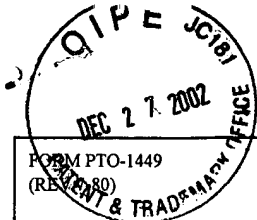
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Form PTO-1449

Cited References (6)

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FORM PTO-1449  
(REV. 8/80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
340058.535APPLICATION NO.  
09/883,091**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT***(Use several sheets if necessary)*

APPLICANTS

Edmund Y. Ting et al.

FILING DATE

June 15, 2001

GROUP ART UNIT

1734

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,724,478	03/03/98	Thweatt	392	484	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENT DOCUMENTS**

	*	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	DE 42 26 767 C1	09/23/93	Germany (+ English abstract)		X
	AL	GB 338,740	11/27/30	Great Britain		
	AM	GB 395,709	07/12/33	Great Britain		
	AN	WO 01/10773 A1	02/15/01	WIPO		
	AO	WO 02/45528 A1	06/13/02	WIPO		

**OTHER PRIOR ART** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).